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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/806,662	03/23/2004	Tadao Kikumoto	230980-0248

23392
 FOLEY & LARDNER
 2029 CENTURY PARK EAST
 SUITE 3500
 LOS ANGELES, CA 90067
 08/11/2004 SSESHE1 00000003 10806662



CONFIRMATION NO. 1164
 FORMALITIES LETTER
 OC000000012889033
 OC000000012889033

01 FC:1001 770.00 DA
 02 FC:1202 360.00 DA
 03 FC:1051 130.00 DA

Date Mailed: 06/07/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) all.

The applicant needs to satisfy supplemental fees problems indicated below.

08/11/2004 SSESHE1 00000003 500072 10806662

01 FC:1001 770.00 DA
 02 FC:1202 360.00 DA
 03 FC:1051 130.00 DA

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$360** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1260** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$360**
 - **\$360** for **20** total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

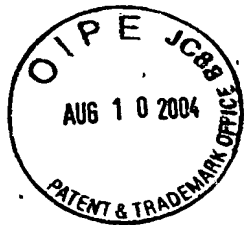
*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tadao Kikumoto
Title: VOCODER SYSTEM AND METHOD
FOR VOCAL SOUND SYNTHESIS
Appl. No.: 10/806,662
Filing Date: 03/23/2004
Examiner: Unassigned
Art Unit: Unassigned

CERTIFICATE OF MAILING

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

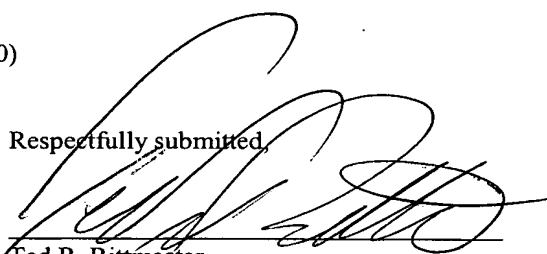
Commissioner:

I hereby certify that the following paper(s) and/or fee along with any attachments referred to or identified as being attached or enclosed are being deposited with the United States Postal Service as First Class Mail under 37 C.F.R. § 1.8(a) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450.

1. Transmittal of Missing Parts Transmittal and extra copy
2. Part 2 of Notice to File Missing Parts
3. Declaration (4 pages)
4. Replacement drawings (10 sheets, Figs. 1-10)
5. Postcard

August 4, 2004
Date

Respectfully submitted,


Ted R. Rittmaster
Reg. No. 32,933

Foley & Lardner LLP
Customer Number: 23392
Telephone: (310) 277-2223
Facsimile: (310) 557-8475



Atty. Dkt. No. 230980-0248

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tadao KIKUMOTO
Title: VOCODER SYSTEM AND METHOD
FOR VOCAL SOUND SYNTHESIS
Appl. No.: 10/806,662
Filing Date: 03/23/2004
Examiner: Unassigned
Art Unit: Unassigned

**TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION**

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Application mailed June 7, 2004, in the above-identified application, transmitted herewith are the missing parts to complete the filing of the subject patent application.

Enclosed are:

☒ Declaration and Power of Attorney (4 pages)

Any attached specification is a copy of the specification and any amendments thereto which were filed in the U.S. Patent and Trademark Office in order to obtain a filing date for the application.

☒ Return Copy of Notice to File Missing Parts

☐ Translation

☒ Drawings

- ☐ Preliminary Amendment
- ☐ Claim for Convention Priority and certified copy of priority documents
- ☐ Information Disclosure Statement
- ☐ Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

- ☐ Extension for response filed within the first month
- ☐ Extension for response filed within the second month
- ☐ Extension for response filed within the third month
- ☐ Extension for response filed within the fourth month
- ☐ Extension for response filed within the fifth month

☒ Other: Drawings

The filing fee is calculated below:

	Claims as Filed		Included in Basic Fee		Extra Claims		Rate		Fee Totals
Basic Fee							\$770.00		\$770.00
Total	40	-	20	=	20	x	\$18.00	=	\$360.00
Claims:									
Independ	3	-	3	=	0	x	\$86.00	=	\$0.00
ents:									
If any Multiple Dependent Claim(s) present:						+	\$290.00	=	\$0.00
Surcharge under 37 CFR 1.16(e) for late filing						+			
of Executed Declaration and late payment of							\$130.00	=	\$130.00
filing fee									
							SUBTOTAL:	=	\$1,260.00
<input type="checkbox"/> Small Entity Fees Apply (subtract ½ of above):								=	\$0.00
							TOTAL FILING FEE:	=	\$1,260.00
							TOTAL FEE	=	\$1,260.00
Difference to pay:							- \$0.00	-	\$1,260.00

☐ Check in the amount of \$1,260.00 in payment of surcharge fee (37 C.F.R. § 1.16(e))

[X] Please charge Deposit Account No. 50-0872 in the
amount of \$1,260.00 in payment of surcharge fee
(37 C.F.R. § 1.16(e))

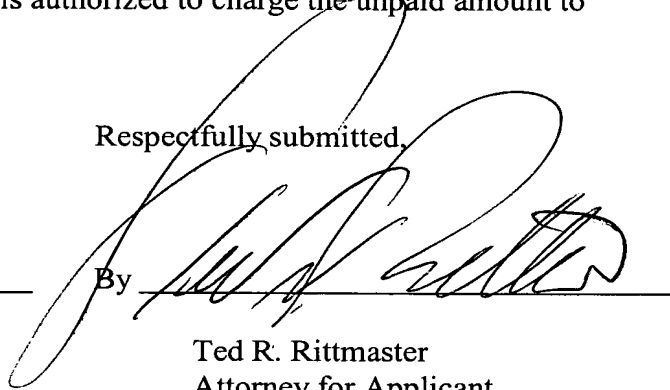
The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Date

August 4, 2004

By



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